



Influence of Spin Coating Speed on Optical Properties of Spin-Coated TiO₂ Thin Films

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Authors' contributions

This work was carried out in collaboration among all authors. Author SA Designed the study and acquired the funding. Authors MM and AUM wrote the first draft of the manuscript, managed the analyses and the literature searches. All authors have read and agreed to the published version of the manuscript All authors read and approved the final manuscript.

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ABSTRACT

In this work, nanocrystalline TiO₂ thin films have been prepared by the sol-gel spin coating method at different spin speeds. X-ray diffraction (XRD), Scanning electron microscopy, and UV-vis spectroscopy were used to investigate the structural and optical properties of the deposited thin films. After the deposition, the samples were annealed in the open air and under the microwave. The results showed an optical energy gap of 3.33-3.61 eV for direct transition for the samples annealed in the open air and 3.28-3.48 eV for samples annealed under the microwave. Indirect transition on the other hand stood at 3.99 eV for all the 3 samples annealed in open air and 3.84-3.91 eV for samples annealed under microwave respectively. This work has shown that annealing either in open air or in a microwave can influence the structural and optical properties of thin films of TiO₂. Other optical parameters studied include optical transmittance, refractive index, reflectance, absorbance dielectric constants, etc.

Keywords: *Open-air annealing; microwave annealing; titanium oxide; XRD; FE-SEM.*

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1. INTRODUCTION

Titanium dioxide (TiO_2), commonly known as titanium oxide or titania, is a widely studied material in the realm of nanotechnology and thin film technology. Its excellent optical properties, coupled with its high chemical stability and biocompatibility [1,2], have led to its extensive utilization in fields such as photovoltaics, photocatalysis, resistive switching applications such as random-access memory, neuromorphic computing, biohybrid interfaces, and sensors and in optical coatings [3-6]. The unique optical properties of TiO_2 thin films arise from the complex interaction of light with its crystalline structure and electronic transitions. As a highly transparent material in the visible spectrum with a wide bandgap of around 3.2 eV, TiO_2 exhibits a significant refractive index and low extinction coefficient in the ultraviolet (UV) range, making it desirable for applications requiring UV light manipulation [7]. Titanium (IV) dioxide (titania) exists in three common crystalline phases: rutile, which is the thermodynamically stable phase, and the metastable phases anatase and brookite [8-10]. The crystal phase can be tailored through deposition techniques, allowing for precise control over the material's optical properties to suit specific applications.

There are many techniques for the synthesis of Titanium dioxide or Titania (TiO_2) thin films, such as the sol-gel technique [11-17], electro-deposition [18], spin coating [5], chemical vapor deposition [19], laser deposition [20], atomic layer method [21], hydrothermal method [22], thermal evaporation technique [23], spray pyrolysis [24] and reactive sputtering techniques [25,26].

The spin-coating method is interesting because it involves two steps to produce thin films of various semiconductors; first, a sol-gel of the precursor solution is prepared, and then the desired material is deposited onto a substrate using the spin-coating technique [27-30].

In order to offer insightful information on the fundamental behavior of TiO_2 thin films under various experimental circumstances, we present a thorough investigation into their optical properties in this paper. The work includes experimental data gathered using spectroscopic

methods, providing a fresh understanding of how TiO_2 thin films behave under varied spin speeds.

2. METHODS

To make the precursor solution, 3 grams of TiO_2 powder of 99.99% purity from Sigma Aldrich were measured and dissolved in 25 ml of ethanol. The resulting solution was stirred for 30 minutes using a magnetic stirrer at room temperature in an airtight container. A spin coater (Model WS-650MZ-23NPP) was used to spin the substrate at various speeds ranging from 500 to 2500 rpm with a spin time of 30 seconds for each film after dispensing about 2.0 μl of the spreading solution onto the glass substrate maintaining a distance of approximately 5.0 mm between the dispenser and the substrate. Prior to the deposition, the glass slides (corning) used as the substrates (25.4 mm \times 76.2 mm) were washed with detergent solution for 10 to 15 minutes in an ultrasonic Sonicator and rinsed in distilled water for 15 minutes at room temperature (RT). The substrates were later cleaned with Isopropanol Alcohol (IPA) in the ultrasonic bath for 15 minutes at room temperature and dried in a stream of nitrogen gas (N_2). Three of the six samples produced (A500, A1500, and A2500) were annealed in a Carbolite horizontal furnace in the open air at 100°C for 1 hour and then slowly cooled to room temperature. The remaining three samples (MWA 500, MWA 1500, and MWA 2500) were subjected to microwave annealing (MWA) by mounting the samples onto a Silicon Carbide (SiC) susceptor. The frequency, power, and time for the MWA were set at 2.45 GHz, 800W, and 2.5 minutes respectively. The structural properties of the samples were studied by X-ray diffractometer (Miniflex 300/600). The diffractometer operated on 40kv and 15mA is having $\text{CuK}\alpha$ radiation ($\lambda=1.5418$ Angstroms). The diffraction angle was between 20 and 700 with a scanning rate of 100/minute and a 2θ step width of 0.010. The surface morphology was analyzed by FE-SEM. The optical properties of all the samples were recorded by UV-VIS-NIR spectrophotometer (Avaspec 2048). To cover both the visible and the infrared range of the spectrum, the wavelength region was set between 190nm to 1100nm. Veeco Dektak profile meter was used to determine the thickness, surface roughness, and radius of curvature of the samples. The thickness of the thin films was estimated at 185 nm.

3. RESULTS AND DISCUSSION

3.1 Structural Properties

The XRD patterns of the TiO₂ thin films annealed in open air and under microwave were shown in Fig. 1 (a and b). The Figure reveals tetragonal anatase and rutile crystal structures with sharp and broad peaks (matching ICDD cards no. 96-900-8215 and 96-900-4143). The tetragonal anatase phase peaked at 2 Theta degrees of 25.27, 37.70, 47.98, 53.76, and 54.99 related to (hkl) crystal levels of (101), (004), (200), (105) and (211) respectively. The sample deposited at a spin speed of 1500 (A1500) also shows both anatase and rutile phases with planes of reflections (004), (105) and (211) observed in sample A500 disappearing. In this sample, the lone rutile phase located at 2 Theta 62.80 is related to the (hkl) crystal level of (002). The X-ray diffractogram of TiO₂ thin films grown at a spin speed of 2500 and annealed in open air (A2500) is also shown in Fig. 1 (a). The sample is observed to have preferential crystallographic structure texture in the (101), (004), (200) and (211) directions corresponding to the Bragg's angles $2\theta=25.33, 37.81, 48.10, \text{ and } 55.14$ found in ICDD card number 96-900-8215 for the anatase phase. The sample also has the rutile phases located at $2\theta=54.19$ and 62.58 at hkl (211) and (002).

XRD patterns of TiO₂ thin films grown at different spin speeds and annealed under microwave are presented in Fig. 1 (b). All the samples (MWA 500, 1500, and 2500) have confirmed anatase and rutile (tetragonal) phases. In all the samples,

up to 5 peaks of the anatase phase have been identified and located at $2\theta= 25.21, 37.53, 47.88, 51.76, \text{ and } 54.87$ with (101), (004), (200), (105), and (211) planes of reflections referenced with ICDD card number 96-900-8215 and 96-900-8216. Rutile phases of hkl (101) and (002) at $2\theta= 36.01$ and 62.80 have been detected in the sample spun at 500 rpm. Four rutile phases appeared for sample MWA 1500 located at hkl (110), (210), (310), and (301) at $2\theta= 27.26, 43.75, 62.12, \text{ and } 68.46$. The only rutile phase observed in sample MWA 2500 is located at $2\theta=64.07$ and hkl (310). All the rutile phases are referenced with ICDD card number 96-900-4143. The appearance of more peaks of the anatase phase in the spectra of the samples confirmed that deposition at higher speeds can improve crystallinity. The appearance of the rutile phase on the other hand can be related to annealing which can create enough energy transformation to effect phase transformation [31]. Furthermore, higher spin speed may promote denser packing which can improve crystallinity.

3.2 Optical Transmittance

Fig. 2 (a and b) shows the spectral transmittance of the thin films of TiO₂ annealed in open air at 100°C for 1 hour. The transmittance of the samples increases with an increase in the number of revolutions of the spin coater.

As known, film thickness directly influences optical properties such as transparency and absorption. Thinner films resulting from higher spin speeds might have lower absorption and

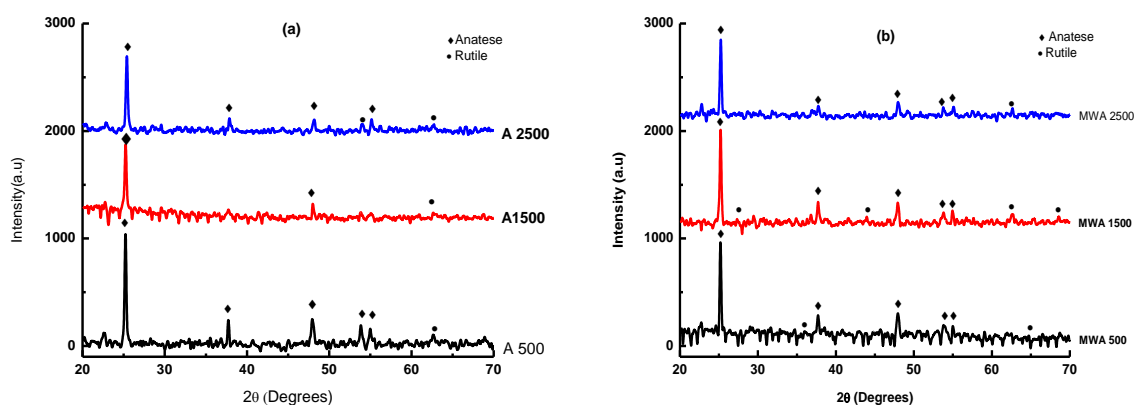


Fig. 1. XRD diffraction patterns for TiO₂ thin films annealed in open air and under Microwave

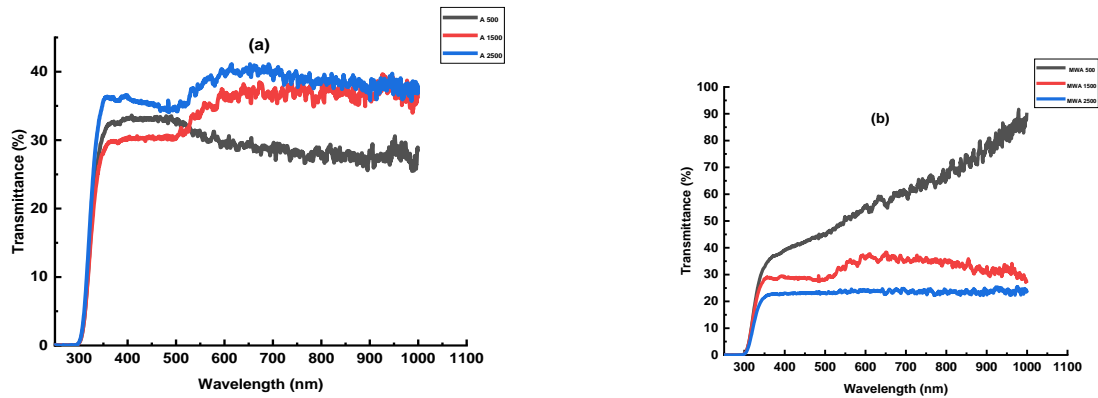


Fig. 2. Transmittance for samples annealed in open air and under Microwave

higher transparency in some cases. However, if the film becomes too thin, issues with light scattering and incomplete coverage might be encountered. The increase in transmittance in thin films is attributed to the reduction in the thickness of the film. The decrease in transmittance on the other hand is related to the semiconducting nature of TiO₂ due to the existence of a large band gap. Materials that absorb UV radiation and possess high transmittance in the visible range can be used as protection for optoelectronic devices against UV radiation [32]. Transmittance can be calculated from Equation (1) given by Dai et al. [33].

$$R+T+A=1 \quad (1)$$

Transmittance for TiO₂ thin films annealed under microwave is also shown in Fig. 2 (b). It can be seen that the transmittance of all the samples decreased if compared with those annealed in open air. This shows that these samples are more absorbable than those annealed in open air. This behavior may be a result of condensation of oxygen during the annealing process. A decrease in transmittance may be a result of light absorption caused by the excitation and migration of electrons from the valence to the conduction band [34].

Generally, an increase in transmittance for thin films can also be related to the fact that the roughness of the samples increases as a result of annealing. This roughness causes scattering. Annealing can also result in larger grain size which may improve transmittance [35]. Lower-level defects acting as scattering centers can also result in an increase in transmittance.

3.3 Optical Band Gap

Fig. 3 (a and b) represents the direct optical band gap of TiO₂ thin films. These band gaps were

calculated from Tauc's relation given as Equation (2) by Abdullahi et al. [36].

$$(\alpha h\nu) = A(h\nu - E_g)^n \quad (2)$$

Where $h\nu$ is the photon energy, A is a constant, and n is equal to 0.5 and 2 for direct and indirect electron transitions. The linear variation of $(\alpha h\nu)^2$ vs $h\nu$ at the absorption edge confirmed that these films are semiconducting. Extrapolating the straight-line portion of the plot $(\alpha h\nu)^2$ vs $h\nu$ for zero absorption coefficient (α) value gives the optical band gap (E_g). The direct optical band gap for samples A 500 and A 1500 is 3.61 eV and 3.33 eV for A 2500. For samples MWA 500 and MWA 1500, the band gap is 3.48 eV and 3.28 eV for sample MWA 2500 respectively. The band gap obtained for A 2500 and MWA 2500 is very close to that obtained by Karoui et al. [14]. The band gap determined for sample MWA 500 was also reported by Alaya et al. [37].

Fig. 4 (a and b) shows the indirect band gap for TiO₂ thin films annealed in the open air and under the microwave. The indirect band gap for all the 3 samples annealed in open air is 3.99 eV and 3.91 for samples MWA 500 and MWA 1500 and 3.84 for sample MWA 2500 respectively.

It is worthy of note that TiO₂ has both direct and indirect band gaps. The increase in both direct and indirect band gaps is related to the increase in the number of charge carrier probabilities resulting from annealing. This increase can also be a result of changes in grain size and modified carrier concentration [35,38]. According to Zharvan et al. [39], the energy gap of thin films TiO increases can be attributed to the size of the crystal. The larger size of anatase crystals will

provide a lower energy gap. This phenomenon is well-known as a quantum size effect. This effect is known to have an important role in controlling the photochemical properties and photocatalytic of semiconductor materials. As can be seen in Fig. 4 (a and b), the E_g varies for the two regimes of samples indicating that the films can be used as window material for thin film solar cells. It has been observed that the E_g varies with an increase in spin speed. This spin speed causes uniform spreading of the material on the substrate exhibiting a more ordered structure by covering the number of defects which causes less contribution to absorption [38]. A decrease in band gap energy is related to the transformation from anatase to rutile phase and increased crystallinity [31].

3.4 Refractive Index (n)

Fig. 5 (a and b) shows the refractive indices for all six samples. As stated earlier, spin speed

influences thin film properties such as transparency and absorption which are directly related to the refractive index (n). The refractive index can be calculated from Equation (3) given by Hassanien and El Radaf [40].

$$n = \frac{1+R}{1-R} + \left(\frac{4R}{(1-R)^2} + k^2 \right)^{1/2} \quad (3)$$

For the samples annealed in open air, n varies with increase in spin speed with respect to samples A1500 and A2500. For sample A500, the refractive index is 1.18.

For the samples annealed under the microwave, n increases with an increase in spin speed for samples MWA1500 and MWA2500 respectively. An increase in the refractive index may be attributed to higher packing density resulting from the annealing because a change in crystalline structure may cause a change in the refractive index.

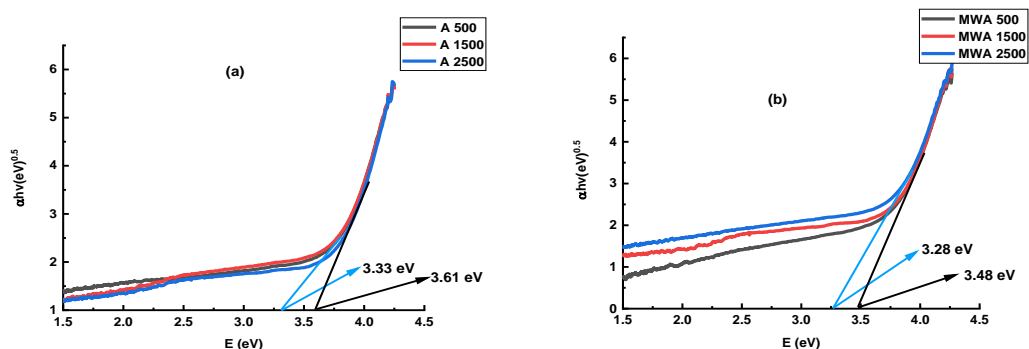


Fig. 3. Direct Band Gap Energy for samples annealed open air and under Microwave

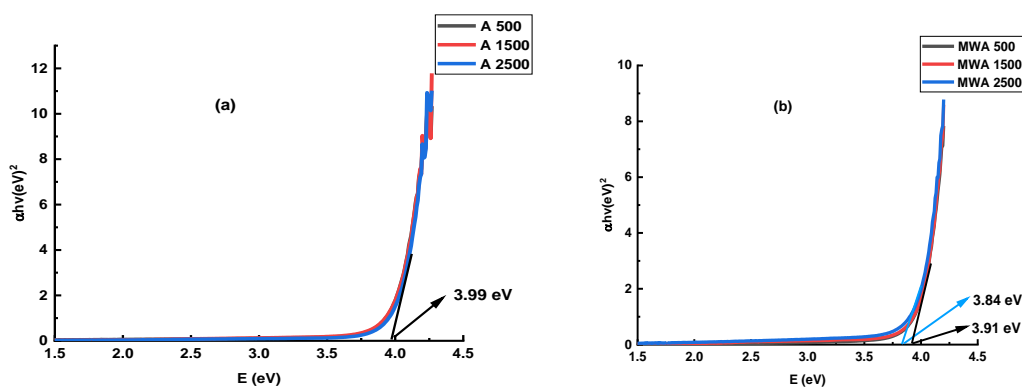


Fig. 4. Indirect Band Gap Energy for samples annealed open air and under Microwave.

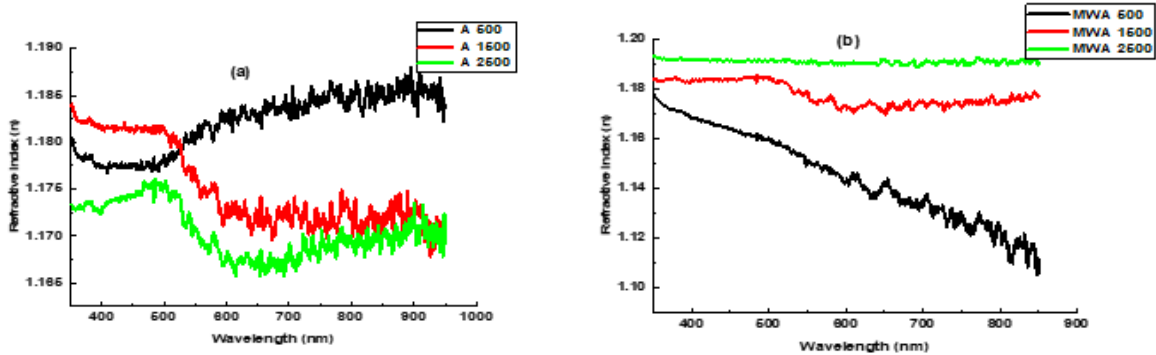


Fig. 5. Refractive index for samples annealed in open air and under Microwave

Furthermore, a decrease in the refractive index shows a normal semiconducting behavior of the material. An increase in the volume fraction of voids available on the film surface also causes a decrease in the refractive index [41].

3.5 Absorption Coefficient (α)

Fig. 6 (a and b) shows the absorption coefficient (α) of the TiO₂ thin films annealed in open air and under a microwave oven. The thin films were grown at different spin speeds ranging from 500

to 2500 rpm. The absorption coefficient was determined from absorbance measurements using Equation (4) by Abdullahi et al. [42].

$$\alpha = \frac{1}{d} \ln \frac{(1-R)^2}{T} \quad (4)$$

where d is the film thickness, r is the reflectance, and t is the transmittance

The absorption coefficient is above 10^5 cm^{-1} for all the samples indicating that all the samples

have the property to absorb photons. It has been observed that the absorption coefficient increases with spin speed which can be linked to the improvement in the crystallinity resulting from annealing.

3.6 Dielectric Constant

As the quantity that measures the ability of a material to store electrical energy in an electric field, the real (ϵ_r) and imaginary (ϵ_i) parts of the dielectric constant that can be expressed as Equations (5) and (6) from (Hassaniien and El Radaf, 2020) is presented in Fig. 7 (a and b) and 8 (a and b).

$$\epsilon_r = n^2 - k^2 \quad (5)$$

$$\epsilon_i = 2nk \quad (6)$$

The real part shown in Fig. 7 (a and b) varied with spin speed such a pattern has also been observed for the refractive index.

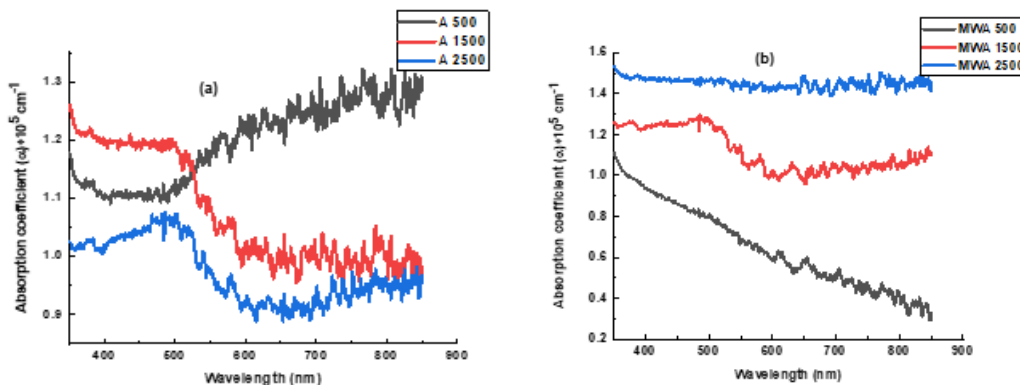


Fig. 6. Absorption coefficient for samples annealed in the open air and under Microwave

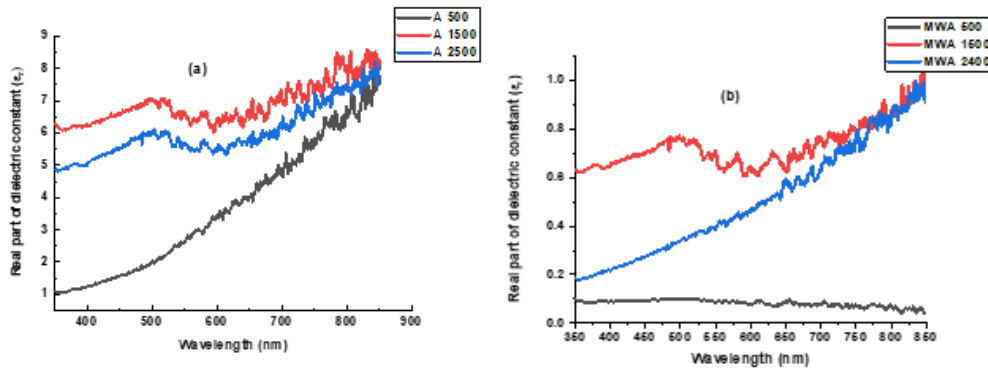


Fig. 7. Real part of the dielectric constant for samples annealed in open air and under Microwave

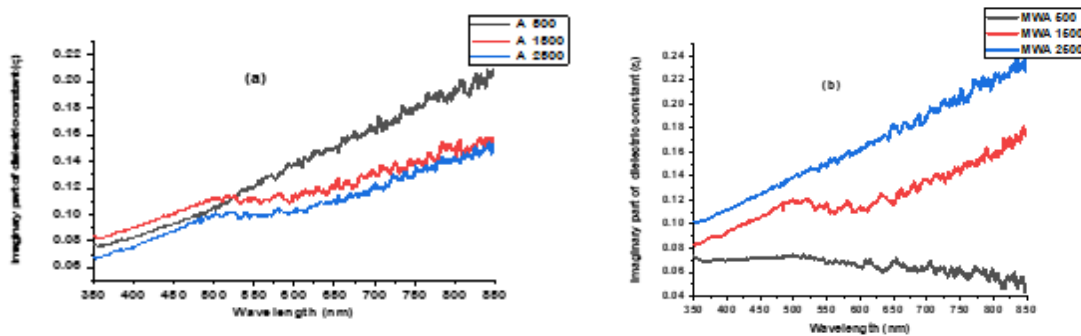


Fig. 8. Imaginary part of the dielectric constant for samples annealed in open air and under Microwave

The imaginary part shown in Fig. 8 (a and b) on the other hand shows a strong relationship between (ϵ_2) and the extinction coefficient (k). There are noticeable increases in both real and imaginary parts of the refractive index with an increase in spin speed. This shows a good optical response of the samples.

4. CONCLUSION

In conclusion, high-quality TiO₂ thin films were deposited on a glass substrate via the spin coating method and annealed in the open air and under the microwave. Their optical properties with respect to spin speed were investigated. X-ray diffraction analysis showed the existence of both anatase and rutile crystal structures. In addition, the transmittance, absorbance, and energy band gap of the samples within the visible region varied according to the spin speed. These properties make it useful for many applications such as antireflection coating, self-cleaning glass, gas sensors, dielectric materials, etc. Finally, these TiO₂ thin films may be a potential

candidate in optoelectronics devices due to their attractive optical properties.

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COMPETING INTERESTS

Authors have declared that no competing interests exist.

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